

**CONFLICT-OF-INTEREST CODE
FOR THE
STATE WATER RESOURCES CONTROL BOARD**

The Political Reform Act (Gov. Code, § 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation (Cal. Code Regs., tit. 2, § 18730) that contains the terms of a standard conflict-of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of Title 2, California Code of Regulations, Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices designating positions and establishing disclosure categories, shall constitute the conflict-of-interest code of the **State Water Resources Control Board (State Water Board)**.

~~Individuals holding designated positions shall file statements of economic interests with the State Water Board, which will make the statements available for public inspection and reproduction. (Gov. Code, § 81008.) Upon receipt of the statements of the State Board Executive Director and the State Water Board Members, the State Water Board shall make and retain a copy and forward the original of these statements to the Fair Political Practices Commission. All other statements shall be retained by the State Water Board. The State Board Executive Director and the State Water Board Members must file their statements of economic interests electronically with the **Fair Political Practices Commission**. All other individuals holding designated positions must file their statements with the **State Water Board**. All statements must be made available for public inspection and reproduction under Government Code Section 81008.~~

NOTE: Authority Cited: Sections 81008, 87300, 87304, Government Code.
Reference: Sections 87300, et seq., Government Code.

CONFLICT-OF-INTEREST CODE
FOR THE
STATE WATER RESOURCES CONTROL BOARD

APPENDIX A

DESIGNATED POSITIONS	DISCLOSURE CATEGORIES
I. STATE WATER RESOURCES CONTROL BOARD	
A. Executive	
1. State Board Members	1
2. Executive Director	1
3. Chief Deputy Director(s)	1
4. Clerk to the Board	2, 4
5. Staff Services Manager II (all levels) (Equal Employment Opportunity Office)	2, 4
6. Delta Watermaster	1
6. <u>Environmental Program Manager II</u>	<u>2, 4, 6, 9</u>
B. Office of Research Planning and Performance	
1. Director	1
2. <u>Assistant Director</u>	1
3. Supervisors (<u>Except Training & Technical Services</u>)	1
4. <u>Water Resources Control (WRC) Engineer (all levels)</u>	<u>2, 4, 6, 9</u>
5. <u>Environmental Scientist (all levels)</u>	<u>2, 4, 6, 9</u>
6. <u>Engineering Geologist (all levels)</u>	<u>2, 4, 6, 9</u>
7. <u>Supervisor, Training & Technical Services</u>	3
8. <u>Supervisor, Staff Services Manager I, Training Office and Administrative Support</u>	3
9. Research Program Data Specialist II (all levels) (Economist)	9
10. <u>Associate Governmental Program Analyst (AGPA) (Training Office & Administrative Support)**</u>	2, 3

11.	<u>AGPA (Emergency Response Program)</u>	2, 4, 9
C. Office of <u>Communications</u> Public Participation		
1.	<u>Communications Director</u>	1
2.	<u>Director of Public Participation</u>	1
3.	<u>Assistant Director of Public Participation</u>	1
4.	<u>Environmental Scientist (all levels)</u>	2, 4, 6, 9
5.	<u>Staff Services Manager (all levels) for Public Participation</u>	3
6.	<u>Media Relations Director</u>	1
2.	Administrative Officer	3
D. Office of Legislative Affairs		
1.	Director	1
2.	Staff Services Manager <u>(all levels)</u>	2, 4
E. Office of Enforcement		
1.	Director	1
2.	Assistant Chief Counsel	1
3.	Attorney <u>(all levels)</u>	1
4.	<u>Section ChiefsSupervisors</u>	1
4.	Senior Engineer(s) (Specialist)	1
5.	Unit Supervisors	1
6.	<u>WRC Engineer (all levels)</u>	1
7.	<u>Environmental Scientist (all levels)</u>	1
8.	<u>Engineering Geologist (all levels)</u>	1
9.	<u>AGPA (all)</u>	1
6.	Administrative Officer	3
F. Division of Information Technology		
1.	Deputy Director	7
2.	Branch Managers	7
3.	Supervisors	7

4.	<u>Information Tech Specialist (all levels)</u>	7
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G. Office of Information Management and Analysis

1.	Director	1
2.	Supervisors/Managers	1
3.	<u>Monitoring Council</u>	2, 4, 6, 9
4.	<u>Clean Water Team</u>	2, 4, 6, 9
5.	<u>Research Data Specialist (all levels)</u>	2, 4, 6, 9
6.	<u>WRC Engineer (all levels)</u>	2, 4, 6, 9
7.	<u>Environmental Scientist (all levels)</u>	2, 4, 6, 9

H. Division of Water Quality

1.	Deputy Director	1
2.	Assistant Deputy Director(s)	1
3.	<u>Section Supervisors/Chiefs (Except Fiscal and Program Analysis & Admin Support Section)</u>	1
3.	Administrative Officer	3
4.	<u>Supervisor, Fiscal and Program Analysis & Admin Support Section</u>	2, 3
5.	<u>Unit Supervisors (Except Continuous Improvement Team Supervisor)</u>	1
6.	<u>Supervisor, Continuous Improvement Team</u>	2, 3
7.	<u>WRC Engineer (all levels)</u>	2, 4, 6, 9
8.	<u>Environmental Scientist (all levels)</u>	2, 4, 6, 9
9.	<u>Engineering Geologist (all levels)</u>	2, 4, 6, 9
10.	<u>Research Scientist (all levels)</u>	2, 4, 6, 9
11.	<u>AGPA, Division Lead Contract Manager within Administrative Support Unit ***</u>	3

I. Division of Administrative Services

1.	Deputy Director	2, 4
2.	Assistant Deputy Director	2, 4
3.	Branch <u>Managers</u> Chiefs/Supervisors	2, 4

4.	<u>All Supervisors</u>	2, 4
4.	Administrative Liaison Unit Manager	2, 4
5.	Filing Officer (defined in Government Code Section 82027)	8
6.	<u>Staff Services Manager (all levels) Procurement and Contracts Section (Business Operations Branch)</u>	2, 4
7.	AGPA (Procurement & Contracts Unit, Contracts Unit and Administrative Liaison Unit) (Business Operations Branch)	2, 3
8.	<u>Staff Services Analyst (Procurement & Contracts Unit, Contracts Unit, and Administrative Liaison Unit) (Business Operations Branch)</u>	2, 3

J. Division of Water Rights

1.	Deputy Director	1
2.	Assistant Deputy Director(s)	1
3.	Section Supervisors (except Administrative, Reporting and DMU <u>Quality Assurance</u> Section)	1
4.	Supervisor, Administrative, Reporting and DMU Section	3
4.	<u>WRC Engineer (all levels)</u>	2, 4, 6, 9
5.	<u>Engineering Geologist (all levels)</u>	2, 4, 6, 9
6.	<u>Environmental Scientist (all levels)</u>	2, 4, 6, 9
7.	<u>Sanitary Engineer Assoc. (all levels)</u>	2, 4, 6, 9
8.	<u>Research Data Specialist (all levels)</u>	2, 4, 6, 9
9.	<u>Staff Services Manager (all levels)</u>	2, 3
10.	<u>AGPA, Special Projects and Records Unit</u>	9
11.	<u>AGPA, Reporting & Quality Assurance Unit I, with Procurement responsibilities</u>	2
12.	<u>AGPA, Data Modernization & Governance Section, Reporting & Quality Assurance Unit 2</u>	9
13.	<u>AGPA, Bay-Delta: San Joaquin Section</u>	2
14.	<u>AGPA, Cannabis Enforcement Unit</u>	2
15.	<u>AGPA, Cannabis Section</u>	9
16.	<u>AGPA, Water Quality Certification & Public Trust Section</u>	9

K. Division of Financial Assistance

1.	Deputy Director	1
2.	Assistant Deputy Directors	1
3.	Branch Managers	1
4.	Section Supervisors/ Chiefs	1
5.	Unit Managers/Supervisors	1
6.	<u>WRC Engineer (all levels)</u>	1
7.	<u>Sanitary Engineer (all levels)</u>	1
8.	<u>Engineering Geologist (all levels)</u>	1
9.	<u>Environmental Scientist (all levels)</u>	1
10.	<u>AGPA (all)</u>	1
11.	<u>Staff Services Analyst</u>	1
12.	<u>Assoc. Accounting Analyst</u>	1

L. Office of the Chief Counsel

1.	Chief Counsel	1
2.	Assistant Chief Counsels	1
3.	Attorneys <u>(all levels)</u>	1
4.	Administrative Liaison	2, 4
4.	<u>Staff Services Manager (all levels), Legal Support & Administrative Section</u>	2, 4
5.	<u>AGPA with Procurement Responsibilities¥</u>	2, 4

~~M. Office of Public Affairs~~

1.	Director	1
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M. Division of Drinking Water

1.	Deputy Director	1
2.	Assistant Deputy Directors	1
3.	Section Supervisors/ Chiefs	1
4.	Unit Managers/Supervisors	1
5.	Supervising District Engineers	1

6.	<u>WRC Engineer (all levels)</u>	<u>2, 4, 6, 9</u>
7.	<u>Sanitary Engineer (all levels)</u>	<u>2, 4, 6, 9</u>
8.	<u>Environmental Scientist (all levels)</u>	<u>2, 4, 6, 9</u>
9.	<u>Research Data Specialist (all levels)</u>	<u>2, 4, 6, 9</u>
10.	<u>Chemist (all levels)</u>	<u>2, 4, 6, 9</u>
11.	<u>Research Scientist (all classes/levels)</u>	<u>2, 4, 6, 9</u>
12.	<u>Staff Serves Manager (all levels)</u>	<u>2, 4, 9</u>
13.	<u>AGPAs, Regulatory Development Unit</u>	<u>2, 4, 9</u>

N. Administrative Hearings Office

1.	<u>Presiding Hearing Officer</u>	<u>1</u>
2.	<u>Attorneys</u>	<u>1</u>
3.	<u>Environmental Scientist (all levels)</u>	<u>1</u>
4.	<u>WRC Engineer (all levels)</u>	<u>1</u>

O. Office of Delta Watermaster

1.	<u>Delta Watermaster</u>	<u>1</u>
2.	<u>Environmental Scientist (all levels)</u>	<u>4, 6, 9</u>
3.	<u>WRC Engineer (all levels)</u>	<u>4, 6, 9</u>

P. Third Party Assessors for the Environmental Laboratory Accreditation Program 5

Q. Administrators/Consultant/New Position *

* Administrators/Consultants/new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Executive Director, or his or her designee, may determine in writing that a particular administrator, consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the disclosure requirements described in this section. Such written determination shall include a description of the administrator’s, consultant’s, or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The determination regarding disclosure requirements is a public record and shall be

retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Section 81008.)

** AGPAs who are regularly assigned work involved in development of procedures for purchasing decisions for Training Office & Admin Support Unit, whose names are maintained by the Filing Officer.

*** AGPA who is the Division of Water Quality's lead contract manager, and whose name is maintained by the Filing Officer.

¥ AGPA whose regularly assigned work includes procurement responsibilities, and whose name is maintained by the Filing Officer.

II. REGIONAL WATER QUALITY CONTROL BOARDS

A. All Regional Board Members	1
B. Region 1-North Coast	
1. Executive Officer	1
2. Assistant Executive Officer(s)	1
3. Division Chiefs /Supervisors	1
4. Unit Supervisors (except Administrative Unit)	2, 4, 6, 9
5. <u>Environmental Scientist (all levels)</u>	<u>2, 4, 6, 9</u>
6. <u>WRC Engineer (all levels)</u>	<u>2, 4, 6, 9</u>
7. <u>Engineering Geologist (all levels)</u>	<u>2, 4, 6, 9</u>
8. <u>Sanitary Engineer (all levels)</u>	<u>2, 4, 6, 9</u>
9. Supervisor Chief , Administrative Unit	2, 4
C. Region 2-San Francisco Bay	
1. Executive Officer	1
2. Assistant Executive Officer(s)	1
3. Division Chiefs /Supervisors (except Management Services)	1
4. Section Leaders	2, 4, <u>6</u> , 9
5. Non-Supervising Seniors	2, 4, 9
5. <u>WRC Engineer (all levels)</u>	<u>2, 4, 6, 9</u>

6.	<u>Engineering Geologist (all levels)</u>	2, 4, 6, 9
7.	<u>Environmental Scientist (all levels)</u>	2, 4, 6, 9
8.	<u>Research Scientist (all levels)</u>	2, 4, 6, 9
9.	<u>Chief Supervisor</u> , Management Services Division	2, 4

D. Region 3-Central Coast

1.	Executive Officer	1
2.	Assistant Executive Officer	1
3.	Section Managers	2, 4, <u>6</u> , 9
4.	<u>Program/Unit Supervisors</u> (except Administrative Services)	2, 4, <u>6</u> , 9
5.	<u>WRC Engineer (all levels)</u>	2, 4, 6, 9
6.	<u>Environmental Scientist (all levels)</u>	2, 4, 6, 9
7.	<u>Engineering Geologist</u>	2, 4, 6, 9
8.	Supervisor, Administrative Services Unit	2, 4
9.	<u>Staff Services Analyst, AGPA, and Office Technician</u>	2

E. Region 4-Los Angeles

1.	Executive Officer	1
2.	Chief Deputy Executive Officer	1
2.	Assistant Executive Officer(s)	1
3.	Section <u>Managers</u> Chiefs (except Administrative Services)	2, 4, <u>6</u> , 9
4.	Unit Supervisors	2, 4, <u>6</u> , 9
6.	Senior Environmental Scientists (Spec.)	2, 4, 9
5.	<u>WRC Engineer (all levels)</u>	2, 4, 6, 9
6.	<u>Sanitary Engineer (all levels)</u>	2, 4, 6, 9
7.	<u>Engineering Geologist (all levels)</u>	2, 4, 6, 9
8.	<u>Environmental Scientist (all levels)</u>	2, 4, 6, 9
9.	<u>Research Data Specialist (all levels)</u>	2, 4, 6, 9
7.	Senior Engineering Geologists (Spec.)	2, 4, 9
10.	Section <u>Manager</u> Chief , Administrative Services	2, 4

F. Region 5-Central Valley

1.	Executive Officer	1
2.	Assistant Executive Officer(s)	1
3.	Section Supervisors	1
4.	Unit Supervisors (except Administrative Support Services/Technical Support)	2, 4, <u>6</u> , 9
5.	Non-Supervising Seniors	2, 4, 9
5.	<u>WRC Engineer (all levels)</u>	<u>2, 4, 6, 9</u>
6.	<u>Sanitary Engineer (all levels)</u>	<u>2, 4, 6, 9</u>
7.	<u>Engineering Geologist (all levels)</u>	<u>2, 4, 6, 9</u>
8.	<u>Environmental Scientist (all levels)</u>	<u>2, 4, 6, 9</u>
9.	<u>Research Data Specialist (all levels)</u>	<u>2, 4, 6, 9</u>
10.	Supervisor(s), Administrative Support Services	2, 4

G. Region 6-Lahontan

1.	Executive Officer	1
2.	Assistant Executive Officer	1
3.	Division Chiefs /Supervisors (<u>Except Tahoe Admin</u>)	1
4.	Unit Supervisors (except <u>Administrative Support Services Victorville Admin</u>)	1
5.	Regional Monitoring Coordinator	2, 4, <u>6</u> , 9
6.	<u>Groundwater Monitoring Coordinator</u>	<u>2, 4, 6, 9</u>
7.	<u>WRC Engineer (all levels)</u>	<u>2, 4, 6, 9</u>
8.	<u>Engineering Geologist (all levels)</u>	<u>2, 4, 6, 9</u>
9.	<u>Environmental Scientist (all levels)</u>	<u>2, 4, 6, 9</u>
10.	Supervisor, Administrative Support Services	2, 4

H. Region 7-Colorado River Basin

1.	Executive Officer	1
2.	Assistant Executive Officer	1
3.	Division Supervisors/Chiefs	1

3.	Section Supervisors (except Administrative and Support Services)	2, 4, <u>6</u> , 9
4.	<u>Unit Supervisors</u>	2, 4, 6, 9
5.	Non-Supervising Senior (Specialist)	2, 4, 9
5.	<u>WRC Engineer (all levels)</u>	2, 4, 6, 9
6.	<u>Engineering Geologist (all levels)</u>	2, 4, 6, 9
7.	<u>Environmental Scientist (all levels)</u>	2, 4, 6, 9
8.	<u>Sanitary Engineer (all levels)</u>	2, 4, 6, 9
9.	Supervisor, Administrative Support Services	2, 4

I. Region 8-Santa Ana

1.	Executive Officer	1
2.	Assistant Executive Officer	1
3.	Branch/Division Chiefs/Supervisors (<u>Except Administrative Services</u>)	1
4.	Section Supervisors (except administrative Support Services)	2, 4, <u>6</u> , 9
5.	<u>WRC Engineer (all levels)</u>	2, 4, 6, 9
6.	<u>Engineering Geologist (all levels)</u>	2, 4, 6, 9
7.	<u>Environmental Scientist (all levels)</u>	2, 4, 6, 9
8.	<u>Sanitary Engineer (all levels)</u>	2, 4, 6, 9
9.	Supervisor, Administrative Services	2, 4

J. Region 9-San Diego

1.	Executive Officer	1
2.	Assistant Executive Officer	1
3.	Branch/Division Supervisors/ Chiefs	1
4.	Unit Supervisors (Except Mission Support Services)	1
5.	Senior Specialist, Monitoring and Surveillance	1
6.	<u>Environmental Scientist (all levels)</u>	2, 4, 6, 9
7.	<u>Engineering Geologist (all levels)</u>	2, 4, 6, 9

7.	<u>Sanitary Engineer (all levels)</u>	<u>2, 4, 6, 9</u>
8.	<u>WRC Engineer (all levels)</u>	<u>2, 4, 6, 9</u>
9.	<u>Information Systems Analyst</u>	<u>7</u>
9.	Supervisor, Mission Support Services	2, 4
10.	<u>AGPA</u>	<u>2</u>
11.	<u>Staff Services Analyst</u>	<u>2</u>

K. Consultant/New Position

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* Consultants/new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The ~~Executive Director~~ or Executive Officer of a region may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The ~~Executive Director’s~~ or Executive Officer’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Section 81008.)

€ Staff Services Analyst whose regularly assigned work includes procurement responsibilities, and whose name is maintained by the Filing Officer.

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APPENDIX B

DISCLOSURE CATEGORIES

Disclosure categories applied to members, officers and employees of Regional Water Quality Control Boards refer to the jurisdiction of the applicable Regional Water Quality Control Board as set forth in Water Code Sections 13200 and 13260(a). Disclosure categories applied to members, officers and employees of the State Water Resources Control Board refer to the jurisdiction of the State Water Resources Control Board, which is the entire State of California.

Category 1

All investments and business positions in business entities, interests in real property and sources of income, including gifts, loans, and travel payments. This includes, but is not limited to, sources that are of the type to be regulated by the Board, as well as persons that apply for any license, permit, certificate, or registration issued by the Board.

Category 2

All investments, business positions in business entities, and sources of income, including gifts, loans, and travel payments, from entities of the type to contract with the State Water Board or a Regional Water Board to provide services, supplies, materials, machinery, or equipment.

Category 3

All investments, business positions in business entities, and sources of income, including gifts, loans, and travel payments, from entities of the type to contract with the designated position's Branch, Division or Unit.

Category 4

All interests in real property.

Category 5

All investments, including interests in real property, business positions in business entities, and sources of income, including gifts, loans, and travel payments ~~received personally from laboratories~~ entities of the type to hold or apply for seeking accreditation from a water right entitlement from the State Water Board's Environmental Laboratory

Accreditation Program. ~~All interests in real property in which the application for a water right entitlement has been made, or for which a water right entitlement has been obtained.~~

Category 6

All investments, and business positions in business entities, and sources of income, including gifts, loans and travel payments, from, sources of the type, which provide research, planning or environmental impact reporting services related to water supply or water quality.

Category 7

All investments and business positions in business entities, and sources of income, including gifts, loans, and travel payments, from, information technology and telecommunications sources, of the type to contract with the State Board or a Regional Board, including but not limited to, computer hardware or software companies, computer consultant services, IT training companies, data processing firms, telephone and media services.

Category 8

All sources of income, including gifts, loans, and travel payments from any State Water Board or Regional Water Board Member or any individual designated in the conflict-of-interest code.

Category 9

All business positions in business entities, investments, and sources of income, including gifts, loans, and travel payments, from sources that are of the type to be regulated by the Board. Such sources include persons that apply for any license, permit, certificate, accreditation or registration issued by the Board.